

EMKAY GLOBAL FINANCIAL SERVICES LIMITED

Format of Holding of Specified Securities

For the Quarter ended 31st March, 2017

ANNEXURE - I

1	Name of the Listed Entity	Emkay Global Financial Services Limited
2	Scrip Code/Name of the Scripe/Class of Security	532737/ EMKAY/ Equity Shares
3	Share Holding Pattern Filed under: Reg. 31(1) (a)/Reg.31(1) (b) /Reg.31(1) (c)	for the Quarter ended on 31st March, 2017
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-	

PARTICULAR

1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed entity has issued any convertible Securities or Warrant?	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No
4	Whether the Listed Entity has any shares in locked-in?	No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No

5 The Tabular Format for disclosure of holding of specified securities is as follows:-





EMKAY GLOBAL FINANCIAL SERVICES LIMITED

For the Quarter ended 31st March, 2017

Table I --Summary Statement Holding of Specified Securities--EQUITY SHARES

Category	Category of Shareholder	Nos of Shareholders	No of fully paid up equity shares held	No of partly paid up equity shares held	No of shares underlying deposit receipts	Total nos. shares held	Shareholding as a % of total no of shares (calculated as per SCRR,1957 as a % of (A+B+C2))	Number of voting rights held in each class of securities -Equity Shares				No to underlying outstanding convertible securities (including)	Shareholding as a % assuming full conversion of convertible securities (as a % of dilutes share capital)	Number of locked in shares		Number of shares pledged or otherwise encumbered XIII	Number of equity shares held in dematerialized form	
								No of Voting Rights			Total as a % of (A+B+C)			No	As a % of total shares held			
I	II	III	IV	V	VI	VII	VIII	Class SEG X	Class Seg Y	Total		X	XI			No	As a % of total shares held	No
A	Promoter & Promoter Group	7	17897500	0	0	17897500	73.24	17897500		0	17897500	73.24	0		0	0	0	17897500
B	Public	6306	6113020	0	0	6113020	25.01	6113020		6113020	25.01	0		0	0	0	NA	6098305
C	Non Promoter-Non Public	1	427630	0	0	427630	1.75	427630		0	427630	1.75	0		0	0	N.A.	427630
C1	Shares Underlying DRs	0	0	0	0	0	0	0		0	0	0	0		0	0	NA	0
C2	Share held by Employee Trusts	1	427630	0	0	427630	1.75	427630		0	427630	1.75	0		0	0	NA	427630
		6314	24438150	0	0	24438150	100.00	24438150			24438150	100	0	0.0000	0	0	0	24423435





EMKAY GLOBAL FINANCIAL SERVICES LIMITED

For the Quarter ended 31st March, 2017
Table-II:- Statement Showing Shareholding Pattern of the Promoter and Promoter Group

Category & Name of the Shareholders	PAN	No. of Shareholder	No of Fully Paid up Equity Shares held	partly Paid up Equity Shares held	No. of shares underlying deposit or receipts	Total nos. shares held	Shareholding % calculates as per SCRR,1957 as a % of (A+B+C2)	Number of voting rights held in each class of securities –Equity Shares			No to shares underlying outstanding full conversion of convertible securities (including a % of diluted)	Shareholding as a % of full conversion of convertible securities (including a % of diluted)	Number of locked in shares	Number of shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form			
								IX										
								Total as a % of (A+B+C)										
I	II	III	IV	V	VI	VII	VIII	No of Voting Rights			X	XI	No	As a % of total shares	No	As a % of total shares held	XIV	
								class X	class Y	Total								
1																		
a																		



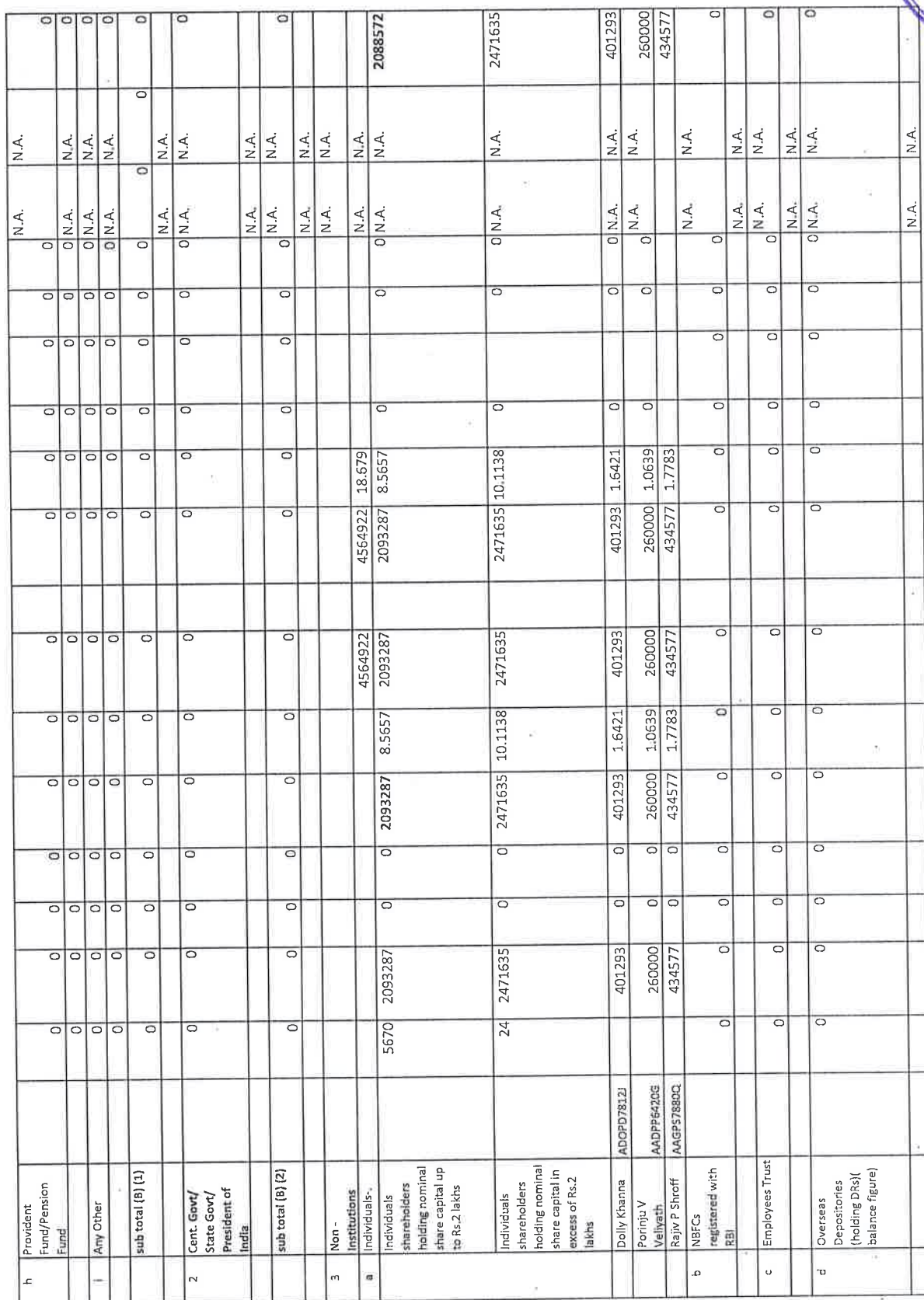


EMKAY GLOBAL FINANCIAL SERVICES LIMITED

For the Quarter ended 31st March, 2017

Table-I]- Statement Showing Shareholding Pattern of the Public Shareholder

Category & Name of the Shareholders	PAN	No. of Shareholder	No of Fully Paid up Equity Shares held	partly Paid up Equity Shares held	No. of shares underlying Depository receipts	Total nos. shares held	Shareholding % as per SCRR,1957 as a % of (A+B+C2)	Number of voting rights held in each class of securities -Equity Shares IX			No for shares underlying outstanding convertible securities (as a % of dilutes share capital warrant)	Shareholding as a % assuming full conversion of securities (as a % of dilutes share capital)	Number of shares locked in shares XII		Number of shares pledged or otherwise encumbered XIII	Number of equity shares held in dematerialized form
								No of Voting Rights		Total			No	As a % of total shares held		
								class x	class Y							
I	II	III	IV	V	VI	VII	VIII	Total as a % of (A+B+C)			X	XI				XIV
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Mutual Fund		0	0		0	0.0000	0	0	0	0	0	0	0	N.A.	0
b	Venture Capital Fund		0	0	0	0	0.0000	0	0	0	0	0	0	0	N.A.	0
c	Alternat Investment Fund		0	0	0	0	0	0	0	0	0	0	0	0	N.A.	0
d	Foreign Venture Capital Investor		0	0	0	0	0	0	0	0	0	0	0	0	N.A.	0
e	Foreign Portfolio Investors		0	0	0	0	0	0	0	0	0	0	0	0	N.A.	0
f	Financial Institution /bank		0	0	0	0	0	0	0	0	0	0	0	0	N.A.	0
g	Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0	N.A.	0
			0	0	0	0	0	0	0	0	0	0	0	0	N.A.	0



e	Any Others	612	1548098	0	0	1548098	6.33479	1548098	1548098	6.3348	0	0	0	0	N.A.	N.A.	1538098
	Hindu Undivided Family	249	173723	0	0	173723	0.7109	173723	173723	0.7109	0	0	0	0	N.A.	N.A.	173723
	Non Res. Ind. Non Repat	31	205975	0	0	205975	0.8428	205975	205975	0.8428	0	0	0	0	N.A.	N.A.	205975
	Non Res. Ind. Repat	56	69563	0	0	69563	0.2847	69563	69563	0.2847	0	0	0	0	N.A.	N.A.	69563
	Office Bearers	20	154043	0	0	154043	0.6303	154043	154043	0.6303	0	0	0	0	N.A.	N.A.	154043
	clearing member	126	184509	0	0	184509	0.7550	184509	184509	0.7550	0	0	0	0	N.A.	N.A.	184509
	other bodies corporate	130	760285	0	0	760285	3.1111	760285	760285	3.1111	0	0	0	0	N.A.	N.A.	750285
	sub total (B) (3)	6306	6113020	0	0	6113020	25.0143	6113020	6113020	25.014	0	0	0	0	N.A.	N.A.	6098305
	Total Public Shareholding B=(B)(1)+(B)(2)+(B)(3)	6306	6113020	0	0	6113020	25.0143	6113020	6113020	25.014	0	0	0	0	N.A.	N.A.	6098305
															N.A.	N.A.	





EMKAY GLOBAL FINANCIAL SERVICES LIMITED

FOR THE QUARTER ENDED ON 31st March, 2017

Table-IV- Statement Showing Shareholding Pattern of the Non Promoter- Non Public Shareholding

Category & Name of the Shareholders	PAN	No. of Shareholder	No of Fully Paid up Equity Shares held	Partly Paid up Equity Shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % per se as a % of (A+B+C2)	Number of voting rights held in each class of securities - Equity Shares			No of shares underlying outstanding convertible securities	Total Shareholding as a % assuming full conversion of convertible securities	Number of locked in shares		Number of shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								No of Voting Rights	class X	class Y	Total		No	As a % of total shares held	No	As a % of total shares held	
1	Custodian/DR Holder	0	0	0	0	0	VIII				0	0	0	0	0	N.A.	0
2	Employee Benefit Trust (under SEBI (Share Based Employee Benefit) Regulations, 2014)	1	427630	0	0	427630	1.7498	427630			427630	1.7498	0	0	0	N.A.	427630
	Emkay Employees Welfare Trust	1	427630	0	0	427630	1.7498	427630			427630	1.7498	0	0	0	N.A.	427630
	Total Non Promoter-Non Public Shareholding (C) = (C1) + (C2)	1	427630	0	0	427630	1.7498	427630			427630	1.7498	0	0	0	N.A.	427630

